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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/839,752 | 04/19/2001 | Victor J. Dzau | 50025/003002 |

CONFIRMATION NO. 2781

21559
CLARK & ELBING LLP
176 FEDERAL STREET
BOSTON, MA 02110-2214

FORMALITIES LETTER



OC000000006059559

Date Mailed: 05/09/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

20010509 10:00 AM

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Certificate of Mailing: Date of Deposit: October 9, 2001

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Susan M. Barry
Printed name of person mailing correspondence

Susan M. Barry
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Victor J. Dzau et al.

Art Unit: 1632

Serial No.: 09/839,752

Examiner: Not Yet Assigned

Filed: April 19, 2001

Customer No.: 21559

Title: Therapeutic Use of Cis-Element Decoys *In Vivo*

Assistant Commissioner For Patents
Washington, DC 20231

TRANSMITTAL OF FORMAL DRAWINGS TO THE OFFICIAL DRAFTSPERSON

In reply to the Notice to File Corrected Application Papers that was mailed in the above-captioned case on May 9, 2001, enclosed are:

Five sheets of formal drawings to replace the informal drawings filed with the application.

If there are any charges or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date: October 9, 2001

Susan M. Michaud
Susan M. Michaud, Ph.D.
Reg. No. 42,885

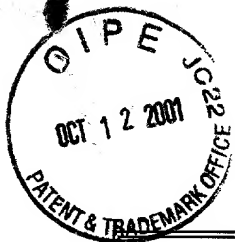
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21559

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FOR FILING



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Victor J. Dzau et al. Art Unit: 1632
Serial No.: 09/839,752 Examiner: Not Yet Assigned
Filed: April 19, 2001 Customer No.: 21559
Title: Therapeutic Use of Cis-Element Decoys In Vivo

Assistant Commissioner For Patents
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.821

Enclosed is a sequence listing in accordance with the requirements of 37 C.F.R.

§§ 1.821 through 1.825 and consisting of three pages.

As required by 37 C.F.R. § 1.821(c), please insert the sequence listing as a separate part of the application, after the Combined Declaration and Power of Attorney. Each sequence in the application appears separately in the sequence listing, and each sequence in the sequence listing is assigned a separate sequence identifier.

As required by 37 C.F.R. § 1.821(d), the sequence identifiers are used throughout the application description and to refer to their respective sequences.

09/839,752-101-1

As required by 37 C.F.R. § 1.821(e), enclosed is a diskette containing a copy of the sequence listing in computer readable form.

As required by 37 C.F.R. § 1.821(f), I hereby state that the contents of the computer readable form are the same as the contents of the paper copy.

As required by 37 C.F.R. § 1.821(g), I hereby state that this submission contains no new matter.

If there are any charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: October 9, 2001

Susan M. Michaud
Susan M. Michaud, Ph.D.
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50025.003002 Sequence Statement.wpd

